

1        LINEARIZATION OF AN INCREMENTAL PRINTER BY MEASUREMENTS  
2        REFERRED TO A MEDIA-INDEPENDENT SENSOR CALIBRATION

3  
4  
5        RELATED PATENT DOCUMENTS  
6

7                Related documents are other, coowned U. S. utility-  
8        patent documents hereby incorporated by reference in their  
9        entirety into this document. One is in the names of Pau  
10        Soler et al., attorney docket 60004608Z141, entitled "COM-  
11        PENSATING FOR DRIFT AND SENSOR PROXIMITY IN A SCANNING  
12        SENSOR, IN COLOR CALIBRATING INCREMENTAL PRINTERS" and la-  
13        ter assigned U. S. patent application serial \_\_/\_\_,  
14        issued as U. S. 6,\_\_\_\_,\_\_\_\_; another of Francesc Subirada  
15        et al., attorney docket 60002868Z136, entitled "TEST-BASED  
16        ADVANCE OPTIMIZATION IN INCREMENTAL PRINTING: MEDIAN,  
17        SENSITIVITY-WEIGHTED MEAN, NORMAL RANDOM VARIATION" and  
18        later assigned U. S. patent application serial \_\_/\_\_,  
19        issued as U. S. 6,\_\_\_\_,\_\_\_\_; still another of Francesc Su-  
20        birada et al., U. S. application serial 09/034,722, "SCAN-  
21        NING AN INKJET TEST PATTERN FOR DIFFERENT CALIBRATION AD-  
22        JUSTMENTS", issued as U. S. 6,\_\_\_\_,\_\_\_\_; another of Thomas  
23        H. Baker et al., serial 09/183,819 entitled "COLOR-CALI-  
24        BRATION SENSOR SYSTEM FOR INCREMENTAL PRINTING" and issued  
25        as U. S. 6,\_\_\_\_,\_\_\_\_; yet another of Francis Bockman and  
26        Guo Li, entitled "CONSTRUCTING DEVICE-STATE TABLES FOR  
27        INKJET PRINTING", U. S. application serial 08/960,766,  
28        issued as U. S. 6,\_\_\_\_,\_\_\_\_; and U. S. 5,796,414 of Otto  
29        Sievert et al., "SYSTEMS AND METHOD FOR ESTABLISHING POSI-  
30        TIONAL ACCURACY IN TWO DIMENSIONS BASED ON A SENSOR SCAN  
31        IN ONE DIMENSION".  
32  
33  
34

EXPRESS MAIL # ET 523 164108 US

1     FIELD OF THE INVENTION

2  
3           This invention relates generally to machines and pro-  
4     cedures for incremental printing of text or graphics on  
5     printing media such as paper, transparency stock, or other  
6     glossy media; and more particularly to calibration of a  
7     sensor used in such machines and procedures for lineariz-  
8     ation preparatory to printing with two or more of such  
9     media.

10  
11  
12  
13     BACKGROUND OF THE INVENTION

14  
15           For short-run work, particularly for single copies or  
16     a few copies, incremental printers are far faster and more  
17     economical than printing presses. This enormous advantage  
18     flows from a totally different set of approaches, tech-  
19     niques and processes in the two technologies.

20           Incremental printers form colors through a set of es-  
21     sentially electromechanical procedures, though chemistry  
22     is important in the interaction between inks and printing  
23     media. These procedures are quite different from the fun-  
24     damentally optical/photochemical (and modernly also compu-  
25     ter-graphics) operations used in offset printing.

26           Incremental printing does have its own limitations  
27     and constraints. Such limitations can be appreciated from  
28     a comparison of the methods used for defining pixels, and  
29     forming colors, in the two very different technologies.

30  
31           (a) Printing-press technology — Traditionally, pix-  
32     els of offset negatives and plates are defined and shaded  
33     by extremely high-precision — and extremely expensive —

2025 RELEASE UNDER E.O. 14176

1 camera lenses, factory preformed soft-dot screens, and ex-  
2 posure-time controls.

3 More modernly, as seen for instance in the patents of  
4 Dainippon Screen, offset pixels are in part defined and  
5 shaded by similarly high-precision, expensive electronic  
6 systems. In both of these conventional offset approaches,  
7 i. e. traditional and modern, the overriding technical and  
8 economic philosophy is to endow the professional printshop  
9 with fine precision make-ready apparatus that controls  
10 pixel geometry in a direct geometrical fashion.

11 The high cost of each make-ready apparatus can be  
12 amortized over make-ready work for many different presses,  
13 many millions of printing impressions, and many years of  
14 service. The equipment which thus defines where and how  
15 much ink will be placed is ordinarily an entirely differ-  
16 ent apparatus from the equipment which thereafter actually  
17 places the ink.

18 When one more printed copy of the image is desired,  
19 the operators need only rotate the printing drum once more  
20 — the colorant placement is already defined by the print-  
21 ing plate. Even if another copy is desired after the  
22 plate has been recycled (i. e. destroyed), the identical  
23 colorant placement can be reestablished from the printing  
24 negatives. There is no need to revert to the original  
25 information source, whether it was a visible camera-ready  
26 master or an electronic image-data computer file.

27

28 (b) Incremental printing technology — Incremental  
29 printing, by comparison, mechanically defines and simulta-  
30 neously marks pixels by an ingenious complex of:

31

32 ■ moving hardware,

33

34 ■ orthogonally moving print medium,

- 1       ▪ split-millisecond timing,
- 2
- 3       ▪ an inexpensive consumable component known as a
- 4       "printhead" or (in inkjet printing) "pen", and
- 5
- 6       ▪ inks specially formulated to be amenable to ejection,
- 7       flight and deposition without physical contact be-
- 8       tween hardware and medium.
- 9

10       In incremental printing each act, or operation, of mechan-  
11       ically defining a particular pixel thus serves — and in-  
12       stantaneously serves — one and only one application of  
13       colorant to the medium.

14       If another printed copy of the image is desired, the  
15       entire array of pixels must be mechanically redefined from  
16       scratch by the same hardware, the only commonality being  
17       the image-data computer file that defines informationally  
18       what the electromechanical hardware will later define me-  
19       chanically. (As noted above, an information source is  
20       present in offset work too, but not normally consulted for  
21       each additional printout.)

22       Due to the extremely dynamic and transitory nature of  
23       this pixel-defining and -marking process — and particu-  
24       larly in view of the relatively humble and inexpensive  
25       printhead that is at the crux of this process — the re-  
26       sulting colorimetric tones are subject to significant  
27       variation. By the same token, however, the entire process  
28       at each point — being dynamic — is subject to pixel-wise  
29       control, and this point-by-point control is readily ex-  
30       ploited to correct or compensate for undesired variation.

31

32       (c) Colorimetric nonlinearity — In particular, the  
33       variation just mentioned is often manifested in nonline-  
34       arity of tonal steps — in nominally linear colorimetric

1 shadings. Linearity of tonal steps is extremely important  
2 to colorimetric accuracy.

3 Linearity is important not merely to the precise per-  
4 ceptible shade (e. g. lightness) of a single subtractive  
5 primary printed alone, but also to the hue and chroma of  
6 all complex shades formed by printing dots of the differ-  
7 ent primaries mixed together. Linearity in incremental  
8 printing requires, in effect, either:

- 9
- 10 (1) a very precise relationship between the size of each  
11 colorant dot and the size of each pixel that such a  
12 dot may occupy — or, alternatively,  
13
- 14 (2) an arithmetic adjustment to each input tonal value to  
15 accommodate imprecision in that relationship.

16

17 When the dot-to-pixel size relationship is correct,  
18 then a nominally linear geometrical sequence of activated-  
19 pixel fractions produces a similarly linear sequence of  
20 actual inking fractions — without any need for arithmetic  
21 adjustment. Accomplishing linearity in this way, however,  
22 would be prohibitively expensive because nonlinearity can  
23 arise from minute tolerances in any of a great number of  
24 operating parameters.

25 These include for example the electronic timing of  
26 dot-formation commands, and interactions between the  
27 printing medium and the colorant; and, in inkjet work,  
28 distance of inkdrop flight from printhead to printing  
29 medium, nozzle size and directionality, heater chamber  
30 size, and heater firing energy. The latter four factors  
31 affect inkdrop volume, which in turn influences both dot  
32 size and dot placement. All the parameters mentioned also  
33 directly affect colorant dot coalescence with nearby dots.

34

1 (d) Linearization procedures — Hence in a practi-  
2 cal, economical sense high-quality printing with incremen-  
3 tal systems and methods requires actual measurement of  
4 tonal-step linearity, and retention of linearization  
5 correction coefficients or the like for use in printing  
6 images thereafter. This kind of correction is known in  
7 the art, and may be effectuated by any of various tech-  
8 niques — some open-loop, others closed-loop.

9 Some such procedures are centered upon factory meas-  
10 urements for each individual printer, or for an entire  
11 line of printers. Others are based on measurements made  
12 in the field (i. e. after distribution of the product),  
13 either automatically by programmed systems in each printer  
14 or by procedures prescribed for performance by human op-  
15 erators of the equipment — or partly automatic, partly  
16 manual.

17 All linearization procedures necessarily rely, at  
18 some point in the cumulative history of the overall data,  
19 whether in factory or field, upon printing and measurement  
20 of a test pattern. Such measurement is followed by feed-  
21 back of measured errors as correction signals to color-  
22 adjusting stages in the printing system of the individual  
23 printer.

24  
25 It is well known in this field that results of line-  
26 arization are different when a printing system is using  
27 different inks, or different printing media — or both.  
28 Therefore, the system linearization procedure must be re-  
29 peated whenever a new set of printheads (pens, in inkjet  
30 systems) is placed into service — and also whenever the  
31 print media are changed.

32 The printing and measurement procedures that are  
33 needed to accomplish the linearization, described above,  
34 necessarily consume both ink and printing medium — as

1 well as time. Since linearization is fundamental to good  
2 image quality, however, these investments of resources are  
3 well spent.

4  
5 (e) Linearization hardware — In the measurement  
6 phases of linearization, a reliable measuring device is  
7 required. This may be a high-quality colorimeter — for  
8 instance a free-standing one such as mentioned in the  
9 coowned U. S. patent 5,272,518 of Vincent, or a printer-  
10 mounted one such as taught in another coowned patent of  
11 Vincent, U. S. 5,671,059, or in the above-mentioned patent  
12 document of Thomas Baker.

13 A colorimeter is or can be made direct-reading in  
14 perceptual colorimetric space, such as the well-known  
15 CIELAB space. Such direct perceptual readout is very fa-  
16 vorable, since it is in perceptual terms that a printing  
17 system ideally should be linearized.

18 Alternatively and much more economically, however,  
19 the measuring device can be a simple densitometer, or even  
20 a relatively crude optical sensor that is custom driven —  
21 and whose output signals are specially interpreted — to  
22 yield values that the Baker document terms "pseudodensito-  
23 metric" measurements. Such a device is especially favora-  
24 ble in a production-printer environment, for measurements  
25 to be made in the field after product distribution, be-  
26 cause many or most sophisticated incremental printers al-  
27 ready include such a sensor for other uses.

28 In particular a simple optical sensor — often denom-  
29 inated a "line sensor" — is provided for such purposes as  
30 pen alignment, and other strictly positional calibrations.  
31 (A representative application of such a sensor is taught  
32 in the Sievert patent document mentioned earlier.) In  
33 scanning printers, a line sensor ordinarily is mounted to

1 the carriage that holds the printheads and scans them back  
2 and forth across the printing medium.

3 Actually for such usages a sensor need do little more  
4 than distinguish dark from light. This is accordingly the  
5 type of sensor that a colorimetric calibration module can,  
6 in effect, inherit from the general operations of an in-  
7 cremental printer.

8 The line sensor consists of a light source and an  
9 electrooptical detector. The source illuminates the print  
10 medium and whatever marks have been printed upon it, and  
11 the detector produces an electrical signal related to the  
12 light reflected from the medium and those marks. In prac-  
13 tice the source is often a light-emitting diode, or in  
14 better units two such diodes emitting light of different  
15 colors so that the sensor can respond suitably to the sev-  
16 eral subtractive primary colorants used in printing.

17  
18 (f) Fine linearization with modest equipment — The  
19 challenge then becomes how to infuse such a primitive  
20 device with an adequately close approximation to the high-  
21 quality measuring capabilities of a perceptual-reading  
22 colorimeter — or, more precisely, how to do so at minimal  
23 cost and complexity. It is conventional in the art of  
24 incremental printing to meet this challenge by calibrating  
25 the sensor itself, in perceptual terms, and storing the  
26 calibration data for use whenever a linearization is to be  
27 performed.

28 There have been several different overall approaches  
29 to providing such a calibration of the sensor. The cali-  
30 bration, at least in principle, can be performed either at  
31 the factory or in the field — but factory calibration is  
32 the only prior method which the present inventors know was  
33 actually commercialized:





1 to be changed after a printer has been distributed and is  
2 in the field, i. e. in an end-user's facility.

3 In any event, the calibration values were then saved  
4 in the printer memory for all the machines in the product  
5 line, and/or in some part of the product line carrying a  
6 particular respective sensor subpopulation. In all cases,  
7 separate calibration numbers were saved for each different  
8 printing medium.

9  
10 As indicated above, although field calibration of the  
11 sensor has been possible in principle, the present inven-  
12 tors are not aware of any prior commercialization of that  
13 approach. If sensor calibration is performed in the  
14 field, then presumably it is done whenever a new set of  
15 colorants or printheads (or both) is placed in service —  
16 and also whenever a different type of printing medium is  
17 first placed in service.

18 Since we assume here that the printer is available  
19 for sensor calibration, one field-calibration strategy is  
20 to conserve printer memory space by calling for the cali-  
21 bration to be performed shortly before each linearization;  
22 then only one set of media data need be stored at any one  
23 time.

24 An alternative strategy is to simplify the operation  
25 or usage of the machine by storing many sets of media data  
26 — upon acquiring such data in the field — and then call-  
27 ing up a suitable set by media type, as in the factory  
28 sensor-calibration case.

29  
30 In any event, after sensor calibration, as noted  
31 above, the system is ready to perform a linearization for  
32 the inks and medium then in use. It has been natural to  
33 perform such changes in calibration, like the lineariza-  
34 tion, for each different printing medium because in the

1 linearization process — as also mentioned above — the  
2 sensor responded differently to test patterns printed on  
3 different media. In other words, the requirement to cali-  
4 brate the sensor separately for each different printing  
5 medium was grounded in the requirement to linearize sepa-  
6 rately for each change of print medium.

7  
8 (g) Drawbacks in conventional calibration — Un-  
9 fortunately, regardless of which of the above-discussed  
10 approaches and strategies is adopted, several problems  
11 result:

12  
13 First, when sensor calibration is performed in the  
14 factory any media introduced by the printer manufacturer  
15 after a particular printer has been distributed to an end-  
16 user — and third-party media as well — are absent from  
17 the media-data memory. This is a serious problem because  
18 special provision must then be made for use of such media,  
19 or the media are usable only without proper linearization.

20 Another serious problem is that calibration of a line  
21 sensor is not strictly accurate unless it is performed  
22 using the particular ink sets and printheads that will be  
23 used in the linearization and subsequent printing.

24 Yet another problem is that memory storage space in  
25 the printer must be dedicated to the calibration data.  
26 The amount of data, however, is modest and this problem is  
27 secondary.

28  
29 Second, if sensor calibration is performed in the  
30 field (if in fact this has been done), while this miti-  
31 gates the problem of third-party and postintroduction  
32 media — and as well the problem of inaccuracy due to  
33 calibration without actual inks and pens to be used — it  
34 does introduce other difficulties. Adoption of the first-

1 mentioned field-calibration strategy (calibrating a sensor  
2 separately for each linearization) raises the end-user's  
3 inconvenience and expense:

4       Recalibration of the sensor after each media change  
5 (in addition to relinearization of the printing system af-  
6 ter each media change, and also in addition to recalibra-  
7 tion of the sensor after each ink-set or pen change) makes  
8 the overall process very time consuming, and somewhat ex-  
9 pensive in materials cost as well.

10       This first field-calibration strategy generally dou-  
11 bles the time required for linearization alone. The dual  
12 process also consumes quantities of ink and printing medi-  
13 um, again roughly doubling the quantities expended for  
14 linearization only.

15       If instead the second-mentioned field-calibration  
16 strategy (of storing all the different media-data sets) is  
17 adopted, then the problems just discussed are somewhat re-  
18 duced but still important if the user wishes to use sev-  
19 eral different media — particularly trying or switching  
20 to new media as they become available. Furthermore the  
21 objectionable cost of dedicated memory space arises again,  
22 as in the factory-calibration case.

23  
24       Thus from the standpoint of a user of the system, a  
25 separate sensor calibration for each different type of  
26 medium — preliminary to relinearization — is extremely  
27 undesirable. What is desired is some more-efficient way  
28 to prepare for the necessary linearization.

29  
30       (h) Dynamic-range adjustment — For purposes of this  
31 document it is important to distinguish another type of  
32 conventional sensor setting that is sometimes (though not  
33 in this document) called "sensor calibration", but is a  
34 much lower-level matter and strictly electronic rather



1 Thus important aspects of the technology used in the field  
2 of the invention are amenable to useful refinement.

3  
4  
5  
6 SUMMARY OF THE DISCLOSURE

7  
8 The present invention introduces such refinement. In  
9 its preferred embodiments, the present invention has several  
10 aspects or facets that can be used independently, although  
11 they are preferably employed together to optimize  
12 their benefits.

13 In preferred embodiments of a first of its facets or  
14 aspects, the invention is an automatic method of linearizing  
15 a color printing system, for forming images on plural  
16 printing media. The method uses measurements made with an  
17 optical sensor that is onboard the system.

18 The method includes the step of referring to a single  
19 calibration of the sensor; this calibration is used in  
20 common for substantially all the plural media. This single  
21 calibration, however, is with respect to exclusively a  
22 single one of the plural media. (In other words, when the  
23 calibration is actually performed, it is performed with  
24 respect to just one of the media.)

25 The method also includes the step of using the sensor,  
26 as calibrated by the single common calibration, to  
27 colorimetrically linearize the system for printing with  
28 each of plural colorants on any one medium, of the plural  
29 media. (In other words, the calibration although performed  
30 with respect to just one printing medium is then  
31 applied more broadly for linearization as to any of the  
32 media.) Yet another step is thereafter maintaining the  
33 system as thus linearized for printing on that one medium.











1 of linearizing and then using a color printing system, to  
2 form a color image on any one of plural printing media.  
3 The method is based upon measurements made with an optical  
4 sensor that is onboard the system.

5 The method includes the step of referring to a single  
6 calibration of the sensor. The single calibration is with  
7 respect to exclusively a single one of the plural media —  
8 but is used in common for substantially all the plural  
9 media.

10 The method includes the step of using the sensor, as  
11 calibrated by the single common calibration, to colorimet-  
12 rically linearize the system for printing with each of  
13 plural colorants on any one medium, of the plural media.  
14 Another included step is thereafter using the system with-  
15 out further sensor calibration to form a properly colori-  
16 metrically linearized image on any different one medium,  
17 of the plural media.

18 The foregoing may represent a description or defini-  
19 tion of the second aspect or facet of the invention in its  
20 broadest or most general form. Even as couched in these  
21 broad terms, however, it can be seen that this facet of  
22 the invention importantly advances the art.

23 In particular, the advantage arising from this facet  
24 of the invention is complementary to the advancement noted  
25 above for the first aspect of the invention. For this  
26 second aspect, the benefits of economical calibration are  
27 extended from the context of the calibration procedure it-  
28 self to the context of using a printer system to produce  
29 images of fine color quality.

30

31 Although the second major aspect of the invention  
32 thus significantly advances the art, nevertheless to  
33 optimize enjoyment of its benefits preferably the inven-  
34 tion is practiced in conjunction with certain additional





1 aspect of the invention essentially parallel those of the  
2 first and second aspects discussed earlier.

3  
4  
5  
6 In preferred embodiments of its fourth major indepen-  
7 dent facet or aspect, the invention is an automatic method  
8 of calibrating an optical sensor and using the sensor to  
9 linearize a color printing system that forms images on  
10 plural printing media. This method includes the step of  
11 deriving a single sensor calibration from ideal properties  
12 of color inks, without making any optical measurement us-  
13 ing the sensor.

14 It also includes the step of referring to the derived  
15 single calibration, used in common for substantially all  
16 the plural media; and furthermore the step of using the  
17 sensor as calibrated by the single common calibration to  
18 colorimetrically linearize the system for printing with  
19 each of plural colorants on any one medium, of the plural  
20 media.

21 Another step is thereafter maintaining the system, as  
22 thus linearized, for printing on the one medium. The  
23 foregoing may represent a description or definition of the  
24 fourth aspect or facet of the invention in its broadest or  
25 most general form.

26 Even as couched in these broad terms, however, it can  
27 be seen that this facet of the invention importantly ad-  
28 vances the art. In particular, this facet of the inven-  
29 tion offers an extremely rapid and generalized way to get  
30 sensor-calibration data without any of the drawbacks of  
31 resorting to measurements.

32  
33 Although the fourth major aspect of the invention  
34 thus significantly advances the art, nevertheless to

optimize enjoyment of its benefits preferably the invention is practiced in conjunction with certain additional features or characteristics. In particular, the preferences introduced above as to each aspect of the invention are applicable to all the other facets too, including this fourth aspect.

All of the foregoing operational principles and advantages of the present invention will be more fully appreciated upon consideration of the following detailed description, with reference to the appended drawings, of which:

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a graph of local contrast ratio for magenta ink, using a Panasonic® blue LED and a Hewlett Packard amber LED (as well as a TAOS® TSL251 receptor);

Fig. 2 is a like graph but using instead two Hewlett Packard LEDs — one bluish-green and another red-orange (and the TAOS unit too);

Fig. 3 is a group of four graphs showing perceptual-color-space parameters corresponding to absolute and local contrast ratios, as derived on the basis of ideal inks — without measurement, in keeping with the above-mentioned fourth main facet of the invention; and

Fig. 4 is a block diagram, highly schematic, representing hardware (including programmed circuitry) in a preferred embodiment of the invention.

1     DETAILED DESCRIPTION  
2     OF THE PREFERRED EMBODIMENTS

3  
4  
5     1.   SENSOR CALIBRATION FOR ALL MEDIA IN COMMON  
6

7             In prior incremental-printer products and procedures,  
8     as discussed in the "Background" section of this document,  
9     it has been conventional to use a line sensor and to pro-  
10    vide a separate calibration of that sensor for use with  
11    each different printing medium. The present inventors are  
12    aware of prior Hewlett Packard commercial products that  
13    operated in this way, based upon factory calibrations com-  
14    mon to a whole product line — and saved in the printer  
15    memory.

16            The media-dependent sensor calibrations (i. e. ap-  
17    propriate to particular media respectively) were to be  
18    invoked in preparation for each relinearization of the  
19    printing system — and this was possible only for media  
20    known to the printer manufacturer at the time of product  
21    distribution. These calibrations were also limited in  
22    accuracy because of the manufacturing tolerances in ink  
23    sets and printheads actually placed in service in the  
24    field.

25            The present invention eliminates both these handicaps  
26    — the limitation to media known and recognized in advance  
27    by the printer manufacturer, and also the ink-set/print-  
28    head accuracy limitation. This is accomplished by cali-  
29    brating in the field.  
30

31            If any commercial products previously employed field  
32    line-sensor calibration that was media dependent, the in-  
33    vention also eliminates the relatively onerous dual proce-  
34    dure (requirement to perform both sensor recalibration and





00010007-072004

1 to the basic  $L^*a^*b^*$  formulas, it can be clearly seen that  
2 if there are two different reference whites, in tristimu-  
3 lus coordinates  $(X_0, Y_0, Z_0)$  and  $(X_1, Y_1, Z_1)$  there are also two  
4 corresponding  $L^*a^*b^*$  systems.

5 To pass from one system to the other, the  $X, Y, Z$  sys-  
6 tem can be used to connect the two. This can be done by  
7 back-solving the equations for each case.

8 To instead demonstrate that if a tone ramp is line-  
9 arized in one system it is also linearized in the other,  
10 an effective algebraic strategy is to characterize small  
11 increments  $\Delta L, \Delta a, \Delta b$  in the  $L^*a^*b^*$  system in terms of  
12 the corresponding  $X, Y, Z$  variables. When this is done and  
13 the  $X, Y, Z$  expressions worked through for  $L^*$  in particular,  
14 it will be found that a change in the reference white only  
15 scales the  $L^*$  values by a constant.

16 This in turn implies that in the  $L^*$  dimension line-  
17 arization can be performed independently of the reference  
18 white that is used — i. e., the linearization is media-  
19 independent. This clearly indicates that  $L^*$  is a desira-  
20 ble parameter for use in linearizing a color ramp.

21 One meaningful reason to object to using  $L^*$  is that  
22 it has a smaller range, as compared with  $a^*$  or  $b^*$ , for a  
23 particular colorant. This is in fact importantly so in  
24 the case of yellow.

25 Although the proof is somewhat more complicated and  
26 less strictly accurate, a closely analogous scaling-by-a-  
27 constant and media independence can be shown for the  $a^*$   
28 and  $b^*$  dimensions. Based on such a demonstration, pre-  
29 ferred embodiments of the present invention use  $b^*$  for  
30 linearization of yellow.

31 These conclusions have been stated in terms of line-  
32 arization. As suggested above, however, the same conclu-

sions carry over to practical requirements for line-sensor calibration.

## 2. DYNAMIC CLOSED-LOOP COLOR CALIBRATION

For purposes of this document, to avoid confusion with the subject of the present patent document — namely, “calibration” of the line sensor — the phrase “color calibration” is limited to colorimetric linearization of an incremental printing system. This in turn, as suggested earlier, means developing and applying transfer functions to each of the printer’s continuous-tone planes (typically CMYKcm) independently. The result is consistent print-to-print and printer-to-printer color.

Linearization that can be performed for any printing medium is essential for a printer manufacturer. It enables optimum image quality when a printer introduced by that manufacturer is used with third-party media — as well as with new, postintroduction media offered by the same manufacturer.

Sensor calibration in turn is critical to a good linearization, and the invention makes possible such calibration that is far more practical than heretofore. This invention is best incorporated into a closed-loop, dynamic form of overall color calibration and linearization.

In operation of preferred embodiments, the printer prints a test pattern — sometimes called a “target”. The target is printed using a first, preliminary linearization and representatively contains four or six ramps of preferably sixteen patches of different amounts of ink, usually including one at maximum density.

One preferred form of pattern layout and scan procedure is taught in the patent document of Paul Soler et al.

11/11/2011 10:00:00 AM

1 mentioned earlier; for present purposes, however, the  
2 intense concern as to stability reflected in that document  
3 is typically not required. Another preferred form of pat-  
4 tern layout and scan procedure is introduced in the first  
5 of the two earlier-mentioned patent documents of Francesc  
6 Subirada et al.

7 Each of the ramps is printed using a different one of  
8 the subtractive primary colorants available in the system.  
9 Refining the linearization for the particular combination  
10 of ink and printing medium, however, first requires a cal-  
11 ibration of the line sensor.

### 12 13 14 3. LINE-SENSOR CALIBRATION

15  
16 There are fundamentally three ways in which this can  
17 be provided using the present invention:

- 18
- 19 ■ a sensor calibration for the entire product line can  
20 be developed at the factory, measuring the response  
21 of a sizable number of the sensors and finding a sui-  
22 table representative relation — such as a mean, or a  
23 weighted mean, etc. — and storing the sensor cali-  
24 bration data in a nonvolatile memory of each printer  
25 in the line;
  - 26
  - 27 ■ a sensor calibration for the particular sensor in  
28 each individual printer can be developed at the fac-  
29 tory, measuring the response of that sensor only —  
30 and again storing calibration information in a mem-  
31 ory, but only a memory of that individual printer; or
  - 32
  - 33 ■ a sensor calibration for the particular sensor can be  
34 developed in the field, most typically in the end-







1           Once again, according to the present invention, just  
2 one sensor calibration does suffice for all media. On the  
3 other hand, regardless of which form of correction is  
4 preferred, it is helpful to have one set of sensor-cali-  
5 bration values for each type of ink.

6           In principle a single calibration may be made to suf-  
7 fice for all inks as well. For future convenience, howev-  
8 er, because the character of inks to be introduced in the  
9 future cannot be foretold as well as the character of me-  
10 dia to be introduced in the future — and because interac-  
11 tion of the sensor with different inks is usually somewhat  
12 more complex than with different media — it is not ad-  
13 vised to attempt such a strategy.

#### 14 15 16 4. SYSTEM LINEARIZATION

17  
18           On the other hand, if it is linearization that is  
19 being performed, the ACR and LCR numbers from the sensor  
20 are translated into perceptual (preferably CIELAB) values.  
21 True colorimetric linearity of the printed test patterns  
22 can then be evaluated.

23           Measured departures from desired linearity are next  
24 in effect inverted to develop linearizing adjustments for  
25 application to tonal values expressed in image data. When  
26 these adjustments are applied, the printing system thereby  
27 is actually instructed to produce tonal values slightly  
28 higher or lower than truly desired.

29           In other words, in effect deliberate errors are in-  
30 troduced. This is done, however, in the knowledge that  
31 these deliberate errors and the nonlinearities present in  
32 the system will counteract one another, thereby producing  
33 correct tones.



00049907 07304

1           The linearization includes generation of, most pref-  
2           erably, nine-bit transfer functions and also error-diffu-  
3           sion thresholds (analogously to the procedure introduced  
4           in the patent document of Bockman and Li mentioned earli-  
5           er). These intermediates are applied to color signals  
6           just before or during halftoning — below the printer-lan-  
7           guage level, and invisible to conventional operations in  
8           that language.

9           This last point is true whether the printer-language  
10          pipeline in use is for instance PostScript®, HPGL or RTL.  
11          Accordingly the same linearization can be used in any such  
12          printer language pipeline, a capability which represents  
13          another favorable innovation.

14          A separate linearization should be performed for each  
15          combination of ink and media. It is not suggested that  
16          only one linearization can suffice for all media, or for  
17          all inks.

18          Starting without a prelinearized system, linearity  
19          measurements suggest a mean nonlinearity of roughly 3 dE,  
20          and maximum of about 7 dE. (The units "dE" represent a  
21          well-known measure of small distances in three-dimensional  
22          perceptual color space.) Starting instead from a preline-  
23          arized system, these values can be reduced to approximate-  
24          ly 1 dE average, and 2 to 3 dE maximum.

25

26

## 27       5. FURTHER LINE-SENSOR CALIBRATION DETAILS

28

29          Practice of the present invention does not require  
30          any deep familiarity with theoretical analysis of measure-  
31          ment systems, or with colorimetric principles, although  
32          the present inventors have performed such analysis and are  
33          familiar with those principles. One particularly advanta-  
34          geous characteristic of the invention is that it can be

1 straightforwardly practiced on the basis of only the gen-  
2 eral descriptions presented in this document.

3 Some generalities found through the inventors' analy-  
4 sis and system design, and useful in obtaining perspective  
5 for practice of the invention, are these:

- 6
- 7 ■ The line sensor can be characterized as a linear  
8 system.

9 This result is of central importance to the  
10 present invention, for it is what enables sensor cal-  
11 ibration with just one single printing medium to  
12 serve for all media. As suggested above, small or  
13 smoothly varying nonlinearities in the sensor re-  
14 sponse are tolerable — and in fact simply become  
15 part of the overall variation for which the sensor  
16 calibration accounts.

- 17
- 18 ■ The typical sensor is a broadband device.

19 Preferred sensors for use in this field are sen-  
20 sitive over the entire visible spectrum, though not  
21 uniformly. A representative line sensor in a printer  
22 has maximum response in the infrared.

- 23
- 24 ■ Economical sensor illuminants are ordinarily LEDs,  
25 currently a pair: one amber, one blue.

26 These sources together provide an adequate ap-  
27 proximation to white light — for maximum response in  
28 sensing the relative tonal values of the subtractive-  
29 primary and black inks ordinarily used in incremental  
30 printing. Operating the two sources simultaneously  
31 is useful for best stability.

32 Such simultaneous operation, however, does re-  
33 quire high-quality electronics (including an analog-  
34 to-digital conversion stage with very high dynamic





00919207 073004

- 1     ■ Adequate sensor stabilization requires accommodating  
2     warmup of the LEDs.

3             In particular, the illumination they emit — and  
4     accordingly the reflection from the test pattern, and  
5     the corresponding signal generated by the sensor —  
6     varies with temperature. Temperature affects both  
7     the overall light-emission efficiency and the spec-  
8     tral distribution (including the peak wavelength) of  
9     each diode. To bring these factors under control,  
10    before beginning actual measurements the LEDs should  
11    be operated for a period of time necessary to stabi-  
12    lize their temperature.

## 13 14 15   6. DEFINITION AND DETERMINATION OF A. C. R. VALUES

16  
17             The previously mentioned ACR and LCR, as these are  
18    used in the present document, are useful intermediate  
19    variables that conveniently relate raw data from the line  
20    sensor to perceptual measures of tonal values in the test-  
21    pattern ramp for each colorant plane. As suggested earli-  
22    er, these perceptual measures may be acquired for use to  
23    indicate the ability of either:

- 24  
25     ■ the sensor, to read a standard, correct test pattern  
26     accurately — in perceptual terms; or  
27  
28     ■ the printer, to make a correct test pattern.

29  
30             In either case, the ACR is a normalized form of the  
31    raw data readings from the sensor. For a reference  
32    "white" (for example, the bare unprinted printing medium),  
33    the maximum ACR will be that of the medium itself (100%  
34    ACR) and the minimum will occur when all power for all

1 wavelengths in the illumination is absorbed (0% ACR) by an  
2 absolute black colorant.

3 ACR accordingly can be defined, for a particular col-  
4 or tone measured by the sensor, as a quotient of reflected  
5 light power  $P_{\text{ABS}}$  vs. the incident power  $P_{\text{INC}}$  — again, tak-  
6 ing into account all the desired spectrum for a wavelength  
7 range:

$$8 \quad ACR = \frac{P_{\text{REF}}}{P_{\text{INC}}} .$$

10 For normalization purposes, however, the power reflected  
11 from a particular color tone patch should first be correc-  
12 ted for an offset that is due to the sensor yielding a  
13 nonzero reading when the light reaching it can be assumed  
14 to be zero:

$$16 \quad P_{\text{REFL}} = P_{\text{PATCH}} - P_K .$$

18 The incident power  $P_{\text{INC}}$  is a special case of this same ex-  
19 pression, obtained when there is no printed patch, so that  
20 reflection is maximum — the value  $P_{\text{MED}}$  received from the  
21 bare unprinted printing medium:

$$23 \quad P_{\text{INC}} = P_{\text{MED}} - P_K .$$

25 Here  $P_{\text{INC}}$  is represented simply as the maximum possible  
26 value of reflected light power, which is to say the dif-  
27 ference between the sensor readings of power reflected  
28 from the print medium  $P_{\text{MED}}$  and from an absolute black col-  
29 orant  $P_K$ .

30 Inserting into the expression given above for the  
31 ACR:

$$33 \quad ACR = \frac{P_{\text{PATCH}} - P_K}{P_{\text{MED}} - P_K} .$$

1 Taking the sensor measurement signals  $\underline{M}$  as proportional  
2 (within small nonlinearities as mentioned earlier) to the  
3 power values  $\underline{P}$ , the ACR can therefore be expressed direct-  
4 ly in terms of the sensor signals  $\underline{M}$ .

5 The various proportionality factors implicit in the  
6 relationship between  $\underline{M}$  and  $\underline{P}$  — such as in particular the  
7 effective area over which the light is collected through  
8 the sensor field of view — cancel out, leaving the con-  
9 clusion that ACR can be measured directly for any triad of  
10 light reflected from (1) a particular patch, (2) paper  
11 "white" and (3) absolute black:

$$ACR = \frac{M_{PATCH} - M_K}{M_{MED} - M_K}.$$

15 As a practical matter, however, the line-sensor meas-  
16 urement signals  $\underline{M}$  indicated here are advantageously taken  
17 making several samples of the sensor signal — ordinarily  
18 on the order of ten samples — and averaging them. As  
19 suggested earlier, it is important that the average sat-  
20 isfy thermal-stabilization criteria.

## 23 7. DEFINITION AND DETERMINATION OF L. C. R. VALUES

25 The concept of ACR, as shown in the previous section,  
26 is analogous to having an absolute-referenced measurement.  
27 Such a derivation is directly applicable to black, because  
28 black always absorbs almost all of the visible spectrum,  
29 and in particular absorbs all the LED power independently  
30 of the spectral balance of that power.

31 This assumption fails for the chromatic colorants  
32 CMY, whose absorption depends strongly on the spectral  
33 balance of the LEDs. Referred to the absorption of abso-  
34 lute black, the value varies depending on the spectrum of

the diodes, and so disrupts the line-sensor independence that is pursued here.

There cannot exist one lookup table that relates sensor readings to CIELAB for all possible sensors. The dependence, moreover, can be affected by spectral variation among LEDs of different standard types (Fig. 1) and some alternative types (Fig. 2).

The illustrations exhibit variation in the 100%-of-magenta ACR, amounting to a divergence of roughly eight percent in this concrete case. Self-warming spectral variation still further complicates the response for the chromatic color inks.

For robustness relative to this LED spectral variation for all the colors other than black, LCR can be defined analogously to ACR but taking as reference 100% of the ink color rather than 100% of absolute black. Thus with  $M_{N \text{ MAX}}$  the maximum sensor response for color-plane "N" (e. g. one of the chromatic colorants CMY, or in some systems the dilute colorants cm, etc.), the LCR is:

$$LCR = \frac{M_{\text{PATCH}} - M_{N \text{ MAX}}}{M_{\text{MED}} - M_{N \text{ MAX}}}.$$

It can be shown that the ACR measurements are the fundamental basis for dynamic closed-loop color, using the invention, while LCR is just a derivative from it. Familiarity with the derivation, however, is not necessary to effective practice of the present invention. The relation can be written:

$$LCR_{\text{ACTUAL}} = 1 - \frac{1 - ACR_{\text{ACTUAL}}}{1 - ACR_{\text{MAXIMUM}}}.$$

In this expression,  $ACR_{\text{actual}}$  is found from the previous expression for ACR in terms of  $P_{\text{PATCH}}$  or  $M_{\text{PATCH}}$  — but evaluated for an intermediate tonal value of one of the chromatic-



color inks — while  $ACR_{\text{MAXIMUM}}$  is seen in the previous expression for ACR, evaluated for 100% of that color.

## 8. SENSOR CALIBRATION TABLES FROM IDEAL INKS

Procedures for sensor calibration based on actual measurements have been described above, particularly in subsections 1, 3, and 5 through 7 of this Detailed Description. Those procedures are particularly useful in obtaining sensor-to-CIELAB conversions that are fully adapted to the spectral behavior of actual inks, as distinguished from ideal colorants.

Achieving such a full adaptation to real-world inks is a main reason for preparing tables specific to each ink. It is possible, however, to establish fairly workable sensor-to-'LAB conversions based on ideal relationships.

The idea is to establish a relationship between the ACR and LCR variables and the  $L^*a^*b^*$  system. For a particular primary (e.g. magenta), if a path is defined from that color to white, then it is possible to map, for that specific color, a relationship between the ACR and the  $L^*a^*b^*$  of that color ramp.

As an example, this task has been performed using Adobe Photoshop® graphics program. Color ramps were defined going from white to each primary KCMY, and then the  $L^*a^*b^*$  "measurements" were taken for each ramp patch using the same Photoshop program.

The resulting values constitute the sensor-to-LAB tables for each color. Data (Fig. 3) in these tabulations, although of course inaccurate because they do not account at all for true spectral properties of actual inks, are usable.

1  
2 9. HARDWARE AND PROGRAM IMPLEMENTATION  
3

4 As the invention is amenable to implementation in, or  
5 as, any one of a very great number of different printer  
6 models of many different manufacturers, little purpose  
7 would be served by illustrating a representative such  
8 printer. If of interest, however, such a printer and some  
9 of its prominent operating subsystems can be seen illus-  
10 trated in several other patent documents of the assignee,  
11 Hewlett Packard — such as for example the previously men-  
12 tioned document of Thomas Baker, which particularly illus-  
13 trates a large-format printer-plotter model.  
14

15 (a) General mechanics and electronics — In some  
16 such representative printers, a cylindrical platen 241  
17 (Fig. 4) — driven by a motor 242, worm and worm gear  
18 (shown as encircling the platen 241) under control of  
19 signals from a digital electronic processor 71 — rotates  
20 to drive sheets or lengths of printing medium 4A in a  
21 medium-advance direction. Print medium 4A is thereby  
22 drawn out of a supply of the medium and past the marking  
23 components that will now be described.

24 A pen-holding carriage assembly 220 carries several  
25 pens, as illustrated, back and forth across the printing  
26 medium, along a scanning track — perpendicular to the  
27 medium-advance direction — while the pens eject ink. For  
28 simplicity's sake, only four pens are illustrated; how-  
29 ever, as is well known a printer may have six pens or  
30 more, to hold different colors — or different dilutions  
31 of the same colors as in the more-familiar four pens. The  
32 medium 4A thus receives inkdrops for formation of a de-  
33 sired image.  
34

1           A very finely graduated encoder strip 233, 236 is ex-  
2 tended taut along the scanning path of the carriage assem-  
3 bly 220 and read by a very small automatic optoelectronic  
4 sensor 237 to provide position and speed information 237B  
5 for one or more microprocessors 71 that control the opera-  
6 tions of the printer. One advantageous location (not  
7 shown) for the encoder strip is immediately behind the  
8 pens.

9           A currently preferred position for the encoder strip  
10 233, 236 (Fig. 4), however, is near the rear of the pen  
11 carriage — remote from the space into which a user's  
12 hands are inserted for servicing of the pen refill car-  
13 tridges. For either position, the sensor 237 is disposed  
14 with its optical beam passing through orifices or trans-  
15 parent portions of a scale formed in the strip.

16           The pen-carriage assembly 220, 220' is driven in  
17 reciprocation by a motor 231 — along dual support and  
18 guide rails (not shown) — through the intermediary of a  
19 drive belt 235. The motor 231 is under the control of  
20 signals 231A from the processor or processors 71.

21           Preferably the system includes at least four pens  
22 holding ink of, respectively, at least four different col-  
23 ors. Most typically the inks include yellow Y, then cyan  
24 C, magenta M and black K — in that order from left to  
25 right as seen by the operator. As a practical matter,  
26 chromatic-color and black pens may be in a single printer,  
27 either in a common carriage or plural carriages.

28           Also included in the pen-carriage assembly 220, 220'  
29 is a tray carrying various electronics. Fig. 4 most  
30 specifically represents a system such as the Hewlett Pac-  
31 kard printer/plotter model "DesignJet 2000CP", which does  
32 not include the present invention. These drawings, how-  
33 ever, also illustrate certain embodiments of the inven-  
34 tion, and — with certain detailed differences mentioned



1 and 220' represent the same pen carriage, with the same  
2 pens.

3 The previously mentioned digital processor 71 pro-  
4 vides control signals 220B, 220'B to fire the pens with  
5 correct timing, coordinated with platen drive control  
6 signals 242A to the platen motor 242, and carriage drive  
7 control signals 231A to the carriage drive motor 231. The  
8 processor 71 develops these carriage drive signals 231A  
9 based partly upon information about the carriage speed and  
10 position derived from the encoder signals 237B provided by  
11 the encoder 237.

12 (In the block diagram all illustrated signals are  
13 flowing from left to right except the information 237B, 65  
14 fed back from the sensors 237, 251 — as indicated by the  
15 associated leftward arrows — and analogously the previ-  
16 ously mentioned information 66 where shown passing to the  
17 calibrating means 72, in a nonstandard direction.) The  
18 codestrip 233, 236 thus enables formation of color ink-  
19 drops at ultrahigh precision during scanning of the car-  
20 riage assembly 220 in each direction — i. e., either left  
21 to right (forward 220') or right to left (back 220).

22 The invention is not limited to operation in four-  
23 colorant systems. To the contrary, for example six-col-  
24 orant "CMYKcm" systems including dilute cyan "c" and ma-  
25 genta "m" colorant are included in preferred embodiments.

26 The integrated circuits 71 may be distributive — be-  
27 ing partly in the printer, partly in an associated compu-  
28 ter, and partly in a separately packaged raster image  
29 processor. Alternatively the circuits may be primarily or  
30 wholly in just one or two of such devices.

31 These circuits also may comprise a general-purpose  
32 processor (e. g. the central processor of a general-pur-  
33 pose computer) operating software such as may be held for  
34 instance in a computer hard drive, or operating firmware

1 (e. g. held in a ROM 77 and for distribution 66 to other  
2 components), or both; and may comprise application-spe-  
3 cific integrated circuitry. Combinations of these may be  
4 used instead.

5  
6 The novel features appear primarily in the color-  
7 calibrating processing portions 72 — which include a two-  
8 stage interpretive system 79, 83. Also novel in this con-  
9 text is a module 80 for controlling the final output stage  
10 78 and printing stage 220 . . . 251, and 4A, to generate a  
11 test pattern for interpretation by the second (lineariza-  
12 tion) stage 83.

13  
14 (b) Sensor-to-perceptual calibration — In first op-  
15 eration of the calibrating portions 72, the system scans  
16 the line sensor — which is another small optical sensor  
17 251 that also rides on the carriage — over a preprinted  
18 test pattern known to be linear. This sensor is detailed  
19 in the previously mentioned patent document of Soler (see  
20 Fig. 5 and discussion in that document).

21 The result is a signal stream 65 from the sensor 251  
22 to the first stage 79, which calibrates the sensor (as  
23 distinguished from linearizing the printing system). This  
24 stage 79 includes a front end 62 that reads and preinter-  
25 prets the known-linear test pattern — and as earlier ex-  
26 plained does so for only one single printing medium.

27 Based on the interpreted data, the main section 63 of  
28 the first-stage calibration module 79 determines conver-  
29 sion factors at multiple points — or a spline-like func-  
30 tion, as mentioned earlier. Resulting calibration data  
31 are stored in a memory 64; as a practical matter, this  
32 memory may be part of the nonvolatile memory 77.

33 Calibration of the sensor is thus completed. The  
34 calibration data remain in the memory 64 for use until the



1 in an analogous manner to that in earlier module 62, but  
2 the interpretive calculations are aimed not at conversion  
3 factors for use of the sensor but rather at determining  
4 errors of linearization in the pattern.

5 From those errors, the next submodule 85 develops a  
6 linearization profile, or transfer function, that will  
7 later be used to adjust input color data to achieve print-  
8 out linearity. Still within the processor 71 and at the  
9 output stage of its calibrating unit 72, this lineariza-  
10 tion submodule 85 transmits the adjustment data 89 into  
11 the previously mentioned nonvolatile memory 77 for storage  
12 in a transfer-function memory bank 86.

13 In this process, one or more of various forms of the  
14 transfer-function information 89 — whether in the form of  
15 coefficients for use in a formula, or in the form of a  
16 lookup table — are then stored in their particular dedi-  
17 cated portion 86 of the memory 77. The transfer-function  
18 information is retrieved from that memory bank 86 and pas-  
19 ses 87 into the color-adjustment module 76, whenever nee-  
20 ded to guide the operation of that module in preparing the  
21 input data 70 for later transformations 74, 75, 78 and  
22 thereby for eventual printing in the printing stage.

23  
24  
25

26 The above disclosure is intended as merely exemplary,  
27 and not to limit the scope of the invention — which is to  
28 be determined by reference to the appended claims.